

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:

Inventor(s) : Tsuyoshi YOSHIDA *et al.*  
:   
Serial Number : New  
:   
Filed : February 10, 2004  
:   
For : UNDERCARRIAGE ASSEMBLY FOR A TRACK-TYPE WORK  
: MACHINE

**INFORMATION DISCLOSURE STATEMENT UNDER  
37 C.F.R. § 1.97 AND 1.98**

The Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 10, 2004

Dear Sir:

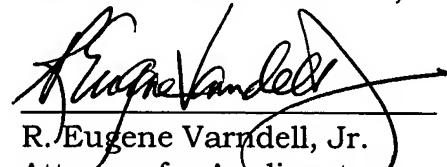
In accordance with the duty of disclosure under 37 C.F.R. § 1.56 applicant hereby notifies the United States Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form and which the examiner may deem relevant to the patentability of the claims in the above-identified application. One copy of the listed documents is submitted herewith. The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of an Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

It is respectfully requested that the examiner initial or otherwise mark one copy of forms PTO-1449 and forward the same to the applicants indicating that the documents listed thereon have been considered.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

In the event that this paper is not timely filed, applicant hereby petitions for an appropriate extension of time. The Commissioner is hereby authorized to charge the fee therefor, as well as any deficiency in the payment of the required fee(s) or credit any overpayment, to our deposit account No. 22-0256.

Respectfully submitted,  
VARNDELL & VARNDELL, PLLC



R. Eugene Varnell, Jr.  
Attorney for Applicants  
Registration No. 29,728

Atty. Case No. VX042590  
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Substitute for form 1449A/PTO				<b><i>Complete if Known</i></b>	
				Application Number	New
				Filing Date	February 10, 2004
				First Named Inventor	Tsuyoshi YOSHIDA et al.
				Group Art Unit	
				Examiner Name	
(use as many sheets as necessary)					
Sheet	1	of	1	Attorney Docket Number	VX042590

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Excited Document	Pages, Columns, Lines, Where relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	us- 2,051,864	8/25/1936	Knox et al.	
	2	us- 2,093,456	9/21/1937	Knox	
	3	us- 2,982,351	5/2/1961	Scott	
	4	us- 3,082,043	3/19/1963	Orton	
	5	us- 3,216,520	11/9/1965	Blonsky	
	6	us- 3,601,454	8/24/1971	Reinsma	
	7	us- 3,787,097	1/22/1974	Orr	
	8	us- 3,826,325	7/30/1974	Purcell et al.	
	9	us- 4,082,371	4/4/1978	Werner et al.	
	10	us- 4,351,572	9/28/1982	Fujiwara et al.	
	11	us- 4,391,341	7/5/1983	Taghon	
	12	us- 4,519,654	5/28/1985	Satzler et al.	
	13	us- 4,553,790	11/19/1985	Boggs	
	14	us- 4,582,367	4/15/1986	Jacquet et al.	
	15	us- 4,583,791	4/22/1986	Nagata et al.	
	16	us- 4,681,177	7/21/1987	Zborowski	
	17	us- 4,744,431	5/17/1988	Stollinger	
	18	us- 4,881,786	11/21/1989	Tonsor	
	19	us- 5,749,423	5/12/1998	Burckhartzmeyer et al.	
	20	us- 6,607,256	8/19/2003	Yoshida et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with excommunication to applicant.

<sup>1</sup> Applicants unique citation designation number (optional).

<sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

**3**) Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3)

For Japanese patent documents, indication of the year of the reign of the Emperor must precede the serial number of the patent document.

**5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16, if possible.**

**8** Applicant is to place a check mark here if English language translation is attached, where "P" means a partial translation, such as an abstract.

Substitute for form 1449A/PTO				<b><i>Complete if Known</i></b>	
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